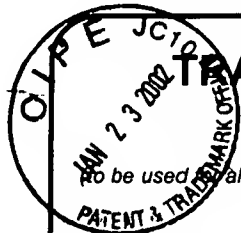


Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

Do not be used for all correspondence after initial filing)

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL FORM		Application Number	09/905,590
		Filing Date	07/13/01
		First Named Inventor	Daryl Craig Josephson
		Group Art Unit	Unknown
		Examiner Name	Unknown
Total Number of Pages in This Submission	10	Attorney Docket Number	00001

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (2 Mo) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Replacement Drawing(s) - 27 Sheets <input checked="" type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal Letter Letter to Chief Draftsperson 27 Sheets of Redlined Originally filed Figs Stamped, Self-Addr Postcard Notice file corrected app papers
Remarks Response to NOTICE TO FILE CORRECTED APPLICATION PAPERS		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daryl Craig Josephson (Applicant & Attorney for Applicant, Reg. No. 37,365)		
Signature			
Date	12/31/01		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/31/01			
Typed or printed name	Daryl C. Josephson		
Signature		Date	12/31/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 200.00

Complete if Known

Application Number 09/905,590
Filing Date 07/13/01
First Named Inventor Daryl Craig Josephson
Examiner Name Unknown
Group / Art Unit Unknown
Attorney Docket No. 00001

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 05-0150

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☒ Charge any additional fee(s), except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims - ** = X =
Independent Claims - ** = X =
Multiple Dependent X =

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	200.00
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) <u>Response to Notice to File Corrected Appl. Papers</u>					0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 200.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Daryl C. Josephson	Registration No. Attorney/Agent)	37,365	Telephone	(650) 843-8796
Signature				Date	12/31/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Daryl C. Josephson

Unknown

Serial No.

Art Unit:

Unknown

Filed: 07/13/01

Title: Interfacing Apparatus and Methods

Commissioner of Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sirs:

The enclosed is in response to the ***NOTICE TO FILE CORRECTED APPLICATION PAPERS*** which was mailed to Applicant on 08/29/01. The enclosed response is extended from 10/29/01 to Saturday, 12/28/01, by the enclosed Petition for Extension of Time for two (2) months under ***37 CFR § 1.136(a)***, and to Monday, 12/31/01, under ***37 CFR § 1.7(a) and (b)***.

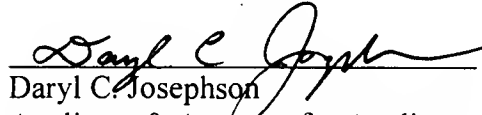
Enclosed are the following documents submitted herewith in connection with the above-identified patent application:

1. Check made payable to the Commissioner of Patents
2. Stamped, self-addressed return Post Card
3. Transmittal Form
4. Fee Transmittal Form
5. Petition For Extension Of Time under 37 CFR § 1.136(a)
6. Copy of Notice to File Corrected Application Papers
7. Letter To Chief Draftsperson
8. 27 Sheets of Redlined originally filed Figures
9. 27 Sheets of Replacement Figures

If for any reason an insufficient fee has been paid, the Commissioner is hereby authorized to charge any deficiency in payment of required fees associated with this communication to Deposit Account 05-0150.

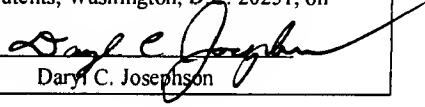
Date: 12-31-01
Squire, Sanders & Dempsey LLP
600 Hansen Way
Palo Alto, CA 94304-1043
Tel: (650) 856-6500
Fax: (650) 856-3619

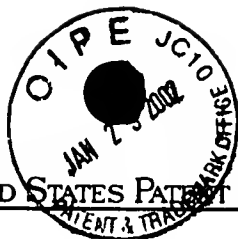
Respectfully submitted,


Daryl C. Josephson
Applicant & Attorney for Applicant
Registration No. 37,365

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

Date: 12-31-01 By: 
Daryl C. Josephson



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,590	07/13/2001	Daryl Craig Josephson	00001

CONFIRMATION NO. 4281

FORMALITIES LETTER



OC000000006491617

Daryl C. Josephson
1500 Broadway, #204
Burlingame, CA 94010

Date Mailed: 08/29/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Date Mailed: 12/31/01	By: D. Josephson	PTO DATE STAMP:
Serial No.: 09/905,590		Docket No.: 00001
Applicant(s): Daryl C. Josephson		
Title: Interfacing Apparatus and Methods		

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | |
|---|---|
| <input type="checkbox"/> Patent Application __ Pages __ Claims | <input checked="" type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Replacement Drawings Informal <u>27</u> Sheets: | <input checked="" type="checkbox"/> Redlined, originally filed Drawings <u>27</u> Sheets |
| Figs. 1, 2, 3a-c, 4a-c, 5, 5a-b, 6a-b, 6a-b (2nd instance), 6c-e, 7a-e, 8, 8 (2nd instance), 8a-g, 10, 10-1, 10-2, 11a-b, 12, 13, 14, 19, 20 and 21 | Figs. 1, 2, 3a-c, 4a-c, 5, 5a-b, 6a-b, 6a-b (2nd instance), 6c-e, 7a-e, 8, 8 (2nd instance), 8a-g, 10, 10-1, 10-2, 11a-b, 12, 13, 14, 19, 20 and 21 |
| <input checked="" type="checkbox"/> Request to Petition for Extensions of Time: <u>2 Mos</u> | |
| <input type="checkbox"/> Oath/Declaration/Power of Attorney | <input checked="" type="checkbox"/> Transmittal Form |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input checked="" type="checkbox"/> Transmittal Letter |
| <input type="checkbox"/> Verified Statement Claiming Small Entity Status | <input checked="" type="checkbox"/> Fee Transmittal for FY 2001 (in duplicate) |
| <input type="checkbox"/> Continued Prosecution Application (\$1.53(g)) | <input type="checkbox"/> Issue Fee Transmittal with PTO-85b |
| <input type="checkbox"/> Provisional Application ____ Pages | <input checked="" type="checkbox"/> Copy of Notice to File Corrected App Papers |
| <input type="checkbox"/> Design Application __ Pages __ Drawings | <input type="checkbox"/> Info. Disclosure Statement & PTO-1449/Refs ____ |
| <input type="checkbox"/> Status Letter | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input checked="" type="checkbox"/> Check No. 10081 for \$ 200.00 | <input type="checkbox"/> Check No. for \$ |
| <input type="checkbox"/> Other: | |
| <input checked="" type="checkbox"/> Certificate(s) of First Class Mailing | |

